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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/443,160	11/19/1999	DAVID L. ISAMAN	130.1012.02	6854

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EXAMINER

ART UNIT PAPER NUMBER

DATE MAILED: 03/14/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

Notification of Non-Compliant Appeal Brief (37 CFR 41.37)	Application No. 09/443,160	Applicant(s) ISAMAN, DAVID L.	
	Examiner Daniel Pan	Art Unit 2183	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on 04 December 2006 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.

EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

1. ☐ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☐ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☒ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☐ Other (including any explanation in support of the above items):

As to the 4 above, the requirements of the concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page, and line number have not been met. Although applicant in the substitute appeal brief, 12/04.06, included explanation and specification page and line numbers under the In General heading, no identification of independent claims can be found, therefore, not it is not referring to any independent claim. Although applicabt also included under Support for Independent Claims heading the specification page and line number and the independent claim numbers, no concise explanation of the subject matter defined in each independent claims can be found under this heading. For example, in page 8, lines 7-21, of the Brief, with a starting phrase "Regarding Claim 20," applicant copied the claim 20 with inserted specification page and line numbers, without any further explanation. Therefore, the requirements to include a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page, and line number have not been met. Similarly, claim 2 (see page 7, lines 13-17 of the Brief) only recites "Regarding Claim 2, a pipelined microprocessor 100 is capable of detecting...". The rest of the paragraph is the claim language in claim 2 with inserted specification page and line numbers, but no concise explanation can be found. The examiner holds that claim itself, the language "Regarding Claim 2," , or the like, is not a concise explanation. 37 CFR 41.37©(1)(v) clearly requires the brief contain a concise explanation of the subject matter defined in each independent claims involved in the appeal , referring to the specification by page and line number. In the applicant's brief, under the In General heading , although there included explanations, identifying the independent claims cannot be found. In the applicant's brief under the Support for Independent Claims heading, although claims and specification page and line numbers are included, no concise explanation can be found. (see MPEP 1205, also 49962 Federal Register / Vol. 69, No. 155 / Thursday, August 12, 2004 / Rules and Regulations).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dan Pan whose telephone number is 571 272 4172. The examiner can normally be reached on M-F from 8:30 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chan, can be reached on 571 272 4162. The fax phone number for the organization where this application or proceeding is assigned is 703 306 5404.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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